
Application Form – Flexible Individual Savings Account (ISA)

Stocks and shares - 2020/2021 (investment limit £20,000)

Please print clearly in block capitals

Type of Advice

Discretionary Managed

Dealing with Advice

Execution Only

Duration

I wish to apply to a Vartan Ravenscroft Stocks and Shares Flexible ISA for the 2020/2021 tax year and each subsequent tax year until further notice.

I wish to apply to subscribe to a Vartan Ravenscroft Stocks and Shares Flexible ISA for 2020/2021 only.

Data Protection

All information provided on this form will be processed in line with Vartan Ravenscroft's Data Protection Policy contained in Vartan Ravenscroft's Terms and Conditions.

Anti-Money Laundering Regulations

We will validate name, address and other personal information supplied by you during this process against appropriate third party databases. By accepting these terms and conditions you consent to such checks being made. In performing these checks personal information provided by you may be disclosed to a registered Credit Reference Agency which may keep a record of that information. You can rest assured that this is done only to confirm your identity, that a credit check is not performed and that your credit rating will be unaffected. All information provided by you will be treated securely and strictly in accordance with the Data Protection Act 1998. In the event that we cannot process your application electronically using the information you have provided to us, we will request additional documentation from you.

Applicants details

Title

Full forename(s)

Surname

Permanent residential address

Postcode

Date of birth

Daytime telephone number

Email address

Account reference (if applicable)

Do you have a National Insurance (NI) Number?

You should be able to find your NI number on a payslip, form P45 or P60, a letter from HM Revenue and Customs, a letter from DWP or pension order book.

If no, please give your pension number or contact your broker.

 Yes No

National Insurance No.

Pension No.

Subscriptions and Income Instruction

The investment limit for 2020/2021 is £20,000 for an ISA.

I wish all the income from my Vartan Ravenscroft ISA to be reinvested to maximise growth.

I wish all the income from my Vartan Ravenscroft ISA to be paid direct into my bank/Building Society.

I wish to subscribe to the ISA for 2020/2021 tax year

£

Initial subscriptions must be made by cheque. All future subscriptions can be made by either cheque or BACS. If you wish to pay by BACS please contact Vartan Ravenscroft for further information.

Please make cheques payable to Pershing Securities Limited.

Bank account details

Name of Bank/Building Society

Account name

Bank/Building Society account number

Bank/Building Society sort code

Bank/Building Society address

Postcode

Declaration

I declare that

- All subscriptions made, and to be made belong to me
- I am 18 years of age or over
- I have not subscribed, and will not subscribe more than £20,000 the overall subscription limit in total to a cash ISA and a Stocks & Shares ISA in the same tax year.
- I have not subscribed, and will not subscribe to another Stocks & Shares ISA in the same year that I subscribe to this Stocks & Shares ISA.
- I am resident and ordinarily resident in the United Kingdom for tax purposes, or if not so resident, either perform duties which by virtue of Section 28 of the Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas), are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Vartan Ravenscroft if I cease to be so resident and ordinarily resident or to perform such duties or to be married to, or in a civil partnership with, a person who performs such duties.

I authorise Pershing Securities Limited:

- To hold my cash subscriptions, ISA investments (to be registered in nominee name), interest, dividends, and any other rights or proceeds in respect of those investments and any other cash for my beneficial ownership
- To make on my behalf any claims on relief from tax in respect of ISA investments, and
- On my written request to transfer of pay to me, as the case may be, ISA investment, interest, dividends, rights or other proceeds in respect of such investments or any cash.

NB: You must complete all relevant sections of this form, otherwise it will not constitute a valid ISA subscription.

I declare to the best of my knowledge the information on this form is true and this application form shall be the basis of a contract between me and Vartan Ravenscroft. I will inform Vartan Ravenscroft without delay of any changes to these particulars.

I have read and agreed and retained the Terms and Conditions of Vartan Ravenscroft's Stocks and Shares Flexible ISA. If you do not understand any point in the terms and conditions please contact us for further information.

Signature

Full name (block capitals)

Date

Disclaimer

Vartan Ravenscroft is a trading name of A Vartan Limited (“AVL”) (FCA number 609277) whose registered office address is at The Singing Men’s Chambers, 19 Minster Precincts, Peterborough, PE1 1XX. AVL is authorised and regulated by the Financial Conduct Authority. All calls to AVL will be recorded and monitored for training and security purposes.

Ravenscroft is a trading name of Ravenscroft Limited (“RL”) (company number 42906) and Ravenscroft Investment Management Limited (“RIML”) (company number 49397) both of which have their registered office addresses at P.O. Box 222, 20 New Street, St Peter Port, Guernsey, GY1 4JG. The business address for Ravenscroft Limited Jersey office is PO Box 419, First Floor, Weighbridge House, Liberation Square, St Helier, Jersey JE2 3NA.

RL is licensed and regulated by the Guernsey Financial Services Commission to conduct investment business and the Jersey Financial Services Commission to conduct investment and funds services business.

Ravenscroft Investment Management is a trading name of RIML. RIML is licensed and regulated by the Guernsey Financial Services Commission to conduct investment business.

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